# **Real-Time Human Object Motion Parameters Estimation from Depth Images**

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#### **Abstract.**

This paper introduces a vision-based motion capture system. Motion capturing technology consists of two categories: model-based tracking and example-based indexing. The motion capturing systems face two challenges: parameter estimation in hi igh-dimensional space and self-occlusion. Our algorithm extends the locality sensitive hashing (*LSH*) method to find the approximate examples and then estimates the pose parameters in high search space. The contributions of this method are proposing the modified d LSH function, applying Hough voting to estimate the pose parameters, and adding the temporal/prediction constraints to increase the prediction accuracy.

### **1. Introduction**

Vision-based human body track king and pose estimation has been simplified by the introduction of real-time depth camera [1∼3]. How wever, until the launch of Kinect, none ran at interactive rates on consumer hardware while handling h human body of different shapes undergoing general articulated motions. Most of vision-based appro aches face two challenges: the parameter estimation in highdimensional space and self-occlusion.

The vision-based human motion capturing can be divided into two categories: *model-based tracking* and example-based pose estimation. Many model-based human tacking methods apply particle e filtering (*PF*) [11,12]. Example-based method exploits a set of labeled training examples. For human pose estimation, high-dimensional search space and large data sets make this method complicate. In [4, 5 5], human pose estimation can be solved by using similarity measure for shape matching. In  $[6]$ , they overcome the highdimensional space problem by using Local-Sensitive Hashing  $(L\widetilde{SH})$  [10] for fast approximate neighbor search. In [7], a patch-based approach combined with LSH is used to retrieve example patches and estimate the pose parameters. Shotton *et al.* [8] predict 3D positions of body joints from a single depth image. By using lots of training data, they train a random decision forest classifier. Wang *et al* .[9] propose an upper body motion capturing system more cameras and a color shirt. They cl regions to estimate the pose and use the estimated pose to refine the color classification iteratively. m using one or lassify the color

We estimate the pose parameter by assembling the local example patches pre-stored in the database. In recognition stage, we use a set of local patches and modified LSH to extract the similar example patches, and then apply the temporal and prediction constraints to the similar example patches a and then use Hough voting to estimate the pose parameter...

# **2. Patch Database Construction**

Our approach estimates the pose parameter by assembling the retrieved example patches indexed by the input local patches. We need a a database containing these example patches generated b y 3D human model.

#### **2.1 3D Human Model**

Human pose can be described by 10 pose parameters including the 3D positions of torso, neck, left/right shoulders, left/right elbows, left/right hips, and left/right knees. Then, we divide the pose parameter  $\Theta = (\theta_1, \dots, \theta_{10})$  into six local pose parameters,  $\mathbf{\Theta} = {\mathbf{\Theta}_1, \dots \mathbf{\Theta}_6}$  where  $\mathbf{\Theta}_1 = (\mathbf{\Theta}_1, \mathbf{\Theta}_2)$  for the two joints of the right hand,  $\mathbf{\Theta}_2 = (\mathbf{\Theta}_3, \mathbf{\Theta}_4)$  for the two joints of the left hand,  $\Theta_3 = (\Theta_5, \Theta_6)$  for the two joints of right leg,  $\Theta_4 = (\Theta_7, \Theta_8)$  for the two joints of the left leg, and  $\Theta_5 = \Theta_9$ for the neck joint and  $\Theta_6 = \Theta_{10}$  for the position of the torso.

### **2.2 Local Patch and Shape Context Extraction**

We use Kinect to capture the imag es of human motion. After extracting the human silhouette, we trace along the boundary contour of the sil lhouette to find the sample points and then extract the local patches as shown in Figure 1. There are 60 s ample points and 60 local patches with size 100×100.



Figure 1. Path extraction along the boundary.

Based on the depth difference, we differentiate the boundary of silhouette as th he boundary contour and the frontal contour. Th he frontal contour overlapped with the boundary contour is called the augmented contour as shown in Figure 2(d).



Figure 2. (a) Input image, (b) Human silhouette, (c) Frontal image, and (d) Augmented contour.

With *augmented contour*, we samp le the boundary contour sparsely to extract the local patches which are described by the shape context. The shape context is described with constant radius  $R_{db}$ , vector  $v$  from the patch's position to the reference point of the model, and the contour points observed within the subarea of the patch. As shown in Figure 3, the patch is a circular shape which is divided into  $r$  radius in radial direction and *θ* angles in angular direction with *rθ* subareas. Its shape context is converted into 2-D D histogram of which each beam represents the number of contour points inside the subarea. A local patch is divided into 24 subareas and described by the shape e context which is a 24-D feature vector.



Figure 3. Shape Context of the local patch of Figure 1.

Based on the position to the centroid of the human silhouette, the local patch may be cla different categories. The local patches category can only vote for the corresponding local parameter Θ*i*, *i=*1∼6. The advantages s of local patch categorization are (a) less collision of similar local patches, and (b) more effective Hough h voting for the correct local pose parameter. assified into six s in the specific

To make our algorithm invariant to the size variation, we rescale the size of each extracted local patch. Each sampled contour point is the center of the local patch. We compute the average d istance of every pair of points as  $R_{db}$ . For each input silhouette, we also compute the mean distance between two sample point as  $\overline{R}_{input}$ . Then, the radius of the input local patch is computed as  $r_{input} = (R_{input}/R_{db})r_{db}$ , where  $r_{db}$  is the radius of the local patch in the database.

## **3. Nearest Neighbor Search**

Example-based pose estimation can be formulated as a nearest neighbor searching problem between the input patch and the example patches in the database which can be solved by the local sensitive has hing.

### **3.1 Local Sensitive Hashing**

The local sensitive functions hash  $(LSH)$  function  $h$  is defined as

$$
if d(\mathbf{u}, \mathbf{v}) \le r \ then \ Pr\big(h(\mathbf{u}) = h(\mathbf{v})\big) \ge p_1 \tag{1}
$$

if 
$$
d(\mathbf{u}, \mathbf{v}) > (1 + \epsilon)r
$$
 then  $Pr(h(\mathbf{u}) = h(\mathbf{v})) \leq p_2(2)$ 

where  $\mathbf{u} = (u_1, \ldots, u_m)$  and  $\mathbf{v} = (v_1, \ldots, v_m)$  are two samples,  $d(·)$  is a distance measure, *h* is a hash function that convert a sample point to a binary hash value. The set of hash functions satisfying the two conditions are called *locality-sensitive* hash functions. An effective *LSH* function must satisfy two conditions:  $p_1 > p_2$ , and  $p_1 > 1/2$ . A *k*-bit LSH function is  $g(x) = [h_1(x), \ldots, h_k(x)]$ .

The samples with the same hash are assigned to the same bucket called *collisio*n. The probability of collision for similar sample points is at least  $1-(1-p_l)^k$ , while the probability of collision for dissimilar sample is at most  $p_2^k$ . Different examples assigned to the same bucket create a collision.

### **3.2 Hash Function Determination**

Given a sample set  $P = {p}$  with *p*  $C=$  *Max*{ $x_1$ , ..., $x_d$  | for all  $p \in P$ }, an bit binary vector as  $v(\mathbf{p}) = \text{Unary}_C(x_1), \dots, \text{Unary}_C(x_d)$ . *Unary*<sub>C</sub> $(x)$  indicates that a scalar *x* is represented by a sequence of *C-bits* bit stream of which there are *x* number of "1" followed by *C*-*x* number of "0". Two closed enough samples are called the positive sample pair, whereas two distant samples are called the negative sample pair. After *LSH* function, if the two binary hash values of two positive sample pair are the same, then they are *True Positive* (*TP*), and if the two binary hash values of two negative sample pair are the same, then they are *False Positive*(*FP*). Here, we select the outcome of certain bit of *Unary*<sub>C</sub> $(x)$ . The selected bit will make the *TP* rate $\geq p_1$ , and *FP* rate  $\leq p_2$ . The hash function of component  $\overline{x}$  is a binary value,  $h(x)=0/1$ , which can be treated as a categorization process. The pseudo codes for *TP* and *FP* rates are  $=(x_1, \ldots, x_d)$ , we have nd convert *p* to a *C*-



 $TP_{Count}$  is the total number of  $TP$  of the sample pirs, whereas  $FP_{Count}$  is the total number of  $FP$  of the sample pairs; If the  $b^{th}$  bit is selected, then the total number of  $TP$  generated is  $TP_b$ , and the total number of *FP* generated is  $FP_b$ .  $k\mathbf{u}(b)$  is the outcome of the  $b^{th}$ bit of example *u*.

### **3.3 Hash Table Construction**

After hash function training process, we select  $k$  hash functions to generate the  $k$ - $\overline{b}$ *it* hash key to generate the hash table as the sample patch database. However, the shape context of two different local patches may be converted to the same hash key called *collision*. The example patches with the c corresponding pose parameters are stored in the buckets in the hash table. The buckets with the same hash key are connected as a linked list. In each bucket, we store an example patch with the corresponding pose parameter. To reduce the invalid hash keys, we reorder the index of the hash key to reduce the empty bucket.

#### **3.4 Modified LSH**

The *Unary* operation with large *C* is very time consuming. *Unary* operation may add d many "0" for the component corresponding to the subarea of small radius so that the length variation of the bit stream for each component will be huge. So, we propose a normalization process before Unary operation to reduce C by converting the shape context of local patch  $p=(x_1, ..., x_d)$  to  $p \in (y_1, ..., y_d)$ , with  $y_i = \text{Int}[8 \times x_i / C_i]$ and 0≤*yi*≤8. In Figure 4, an 88-bit (8+6 60+20) stream is reduced to 24-bit  $(8+8+8)$ .

Max vector										
					8 60	20				
Vector 1		2	15	20			Vector 1	$\overline{2}$	$\overline{2}$	8
Vector <sub>2</sub>		O	60	15			Vector <sub>2</sub>	$\Omega$	8	6
Vector 3		8	30	o	Normalize		Vector 3	8	$\overline{4}$	$\Omega$
	Binary Vector 1				11000000	11000000		11111111		
	<b>Binary Vector 2</b>				00000000	11111111		11111100		
	<b>Binary Vector 3</b>			11111111	11110000		00000000			

Figure 4. Normalization pro cess.

Then, we propose a simplified Unary operation. Let  $x_d$  be the  $d^{th}$  component of x and converted to a bit stream of which the  $i^{th}$  bit can also be determined by comparing  $x_d$  with *i*. If  $x_d \ge i$ , then the *i*<sup>th</sup> otherwise it will be "0". After *Unary* find the hash function  $h_d(x)$  for component *d*. The hash function generates a binary output by selecting the *i*<sup>th</sup> bit of the bit stream generated by *U* The *i* is determined by selecting *Unary* $_C(x_d)$  which generate the best trade-off between higher *TP* rate and lower *FP* rate. . A *k*-bit *LSH* function can be rewritten as  $g(x) = [h_1(x), \ldots, h_k(x)]$  of which  $h_d(\mathbf{x})=1$  if  $x_d\geq i_d$ , else  $h_d(\mathbf{x})=0$  for  $d=1,...k$ .  $h$  bit will be "1<sup>"</sup> *y* operation, we *Unary* operation. the  $i^{th}$  bits of

#### **4. Parameter Estimation**

For each input local patch, we find its category and use *LSH* indexing to extract the similar patches, and then apply the Hough voting to find the e most probable pose parameter. However, the local pose parameter receiving the maximum votes may not be the right solution. So, we apply the temporal and prediction constraints before and after the Hough voting process.

#### **4.1 Temporal Constrains**

Human articulated motion is smooth and continuous so that the pose difference between two instances satisfies a so-called the *temporal constrain*. In training, we model the motion parameters of the limbs  $(i.e., \nleftarrow \nleftarrow \nleftarrow \nleftarrow \nrightarrow \nright)$ . For each joint, we collect the difference of pose para ameter at two continuous time instance. The distribution of the difference can be described as a Gaussian distribution  $N(\mu, \sigma)$ .

#### **4.2 Hough Voting**

For each input local patch, with specific category *q* (*q*=1∼6), we may compute the has corresponding similar example pa  $Q^q$ . To simplify the estimation process, we assume that the probabilities of local pose par rameters in different categories are statistically independent. So we have sh key to retrieve the atches  $I_k^q$  in database

$$
p(\mathbf{\Theta}|E) = \sum_{q=1}^{6} p(\mathbf{\Theta}_i|E^q)
$$
 (4)

where  $E_i^q$  is a set of extracted loca in the  $q^{\text{th}}$  category. Based on the lo *LSH* to retrieve the example patch ESIT is retrieve the example patches  $\{i_k, k = 1, ..., K\}$ <br>in Q. Each example patch contributes votes to estimate the local pose parameter  $\Theta_i$ . The local pose parameter estimation is described a as al patches  $E^q = \{e_i^q\}$ ocal patches, we use hes  $\{I_k^q, k = 1, ... K\}$ 

$$
p(\mathbf{\Theta}_{i}|E^{q}) = \sum_{i=1}^{|E^{q}|} \sum_{k=1}^{K} p(\mathbf{\Theta}_{i}|I_{k}^{q}) p(I_{k}^{q}|e_{i}^{q}) p(e_{i}^{q}) \quad (5)
$$

where  $p(\mathbf{\Theta}_i | I_k^q)$  is the likelihood of estimating the local pose parameter Θ*<sup>i</sup>* based on the pose parameter  $\sigma_i$  based on the<br>patches  $\{I_k^q, k=1,..K\}, p(I_k^q|e)$ likelihood of finding the example patches, and  $p(e_i)$ denotes the likelihood of observing the input patch.  $e_a$  retrieved example  $e_i$  represents the

For an input local patch, we may find multiple example patches with the similar shape context. To apply the temporal constraint, we assign a weight  $w_k$  to each retrieved example patch different contribution to estima parameter **Θ**<sub>*i*</sub> by casting different weight  $w_k = p(I_k^{q} | e_i^{q}) = 1/N_{l_k} \in Q$ , if  $|\Theta|$ otherwise  $w_k=0$ .  $N_{I_k} \neq 0$  is the n<br>sample patches in Q with the s patch is assigned an equal priori.  $I_k$ <sup>1</sup>. It indicates a ate the local pose weighted vote. The Θ*<sup>i</sup>* (*t*)− Θ*<sup>i</sup>* (*t+1*) | *<*σ, number of retrieved ame Θ*i*. Each input

#### **4.3 Prediction Constraint**

Due to self-occlusion, we cannot f find the correct pose parameter based on the voting only. We propose a prediction constrains which relate s the candidate pose  $\hat{\mathbf{p}}$  parameter  $\mathbf{\Theta}_j$  with the predicted pose parameter  $\mathbf{\Theta}_{predict}$ and determines the weight for the candidate pose parameter  $\Theta_j$  as  $w_{\Theta_j}$ . We modify (5) as follows

$$
p(\mathbf{\Theta}_j|E^q) = \sum_{i=1}^{|E^q|} \sum_{k=1}^K w_{\mathbf{\Theta}_j} p(\mathbf{\Theta}_j|I_k^q) p(I_k^q|e_i^q) p(e_i^q)
$$

The weight  $w_{\Theta_j}$  is defined as

$$
w_{\mathbf{\Theta}_j} = \left(\frac{|\mathbf{\Theta}_j - \mathbf{\Theta}_{pred}|}{\sum_j |\mathbf{\Theta}_j - \mathbf{\Theta}_{pred}|}\right)^{-1}
$$

 $\Theta_j$  is the candidate pose parameter receiving enough votes and satisfying the temporal c constraints and Θ*pred* is the predicted pose parameter defined as

$$
\mathbf{\Theta}_{pred} = \frac{1}{N} \sum_{j=1}^{N} \mathbf{\Theta}_{j}
$$

The voting distributions for the example patches in different categories are different which is used for final pose parameter estimation.

#### **5. Experimental Results**

We use the commercial motion capture system to capture the positions and orientations of 10 joints as the ground truth. To create the real silhouette images of real human figure, we use the depth images from Kinect. We generate 12000 dataset images captured from two different human figures performing 4000 various poses. The training set contains 8000 images, whereas the testing set contains 4000 images.



Figure 5. Input images and 3D avatar.

Figures 5 illustrates our experimental results. To measure the accuracy of the estimation, we compute the average error between the estimated joint positions of the ground truth as

$$
Avg\ error = \sum_{i=1}^{10} ||\mathbf{g}_i - \mathbf{e}_i||/10 \tag{9}
$$

where  $j$  is the index of the joint,  $\mathbf{g}_i$  indicates the joint 3D position parameter of the ground truth, **e**j indicates the estimated joint 3D position parameter, and ||⋅|| is the Euclidian distance measure. As the number of input patches increases, the average error decreases but the computation time increases. However, the improvement is saturated after certain number of patches is selected.

The computation complexity is linearly increased with the number of patches. Here, we let the number of patches *Ninput*=60, and fix the number of postures *Npose* in the database. We compare the *LSH* searching and conventional full search method as shown in Table 1. Full search will find the pose with the least error, but the computation complexity is not acceptable.

	Linear Search	
Avg Error(mm)	18.57	54.32
Computing Time(ms)	12780	37 O 1

Table 1 Error and complexity comparison.

From the estimation error of the local pose parameters, we find that upper-joints (such as shoulder and hip) is smaller than the lower-joints (such as elbow, wrist). It is because of hierarchical structure of human parts and the movement of the upper-joint is smaller than the lower-joint. However, the error is not reduced significantly with the increment of the number of selected patches. Using temporal constraint does not reduce much error, but reduce the computation dramatically. We compare the estimation errors and computing time of these two methods as the number of poses increases.

We compare the performance of convention *LSH*  [7] and our modified *LSH* method in training time, estimation time and average error as shown in Table 2. We extract 60 patches from the test image for *LSH* method. Table 2 shows that the improvement of precision is limited, however, the improvement of training time and estimation time is enormous. Because current joint estimation is based on the previous location of the joint, the estimated pose is not correct if there is a sudden moving direction change,

Table 2. Compare the error and complexity of LSH[7] and our modified LSH.

and our modified LSH.								
	Fraining	Computing	Avg Error					
	tıme	Time/frame						
	485 mın	$/1$ ms						
) <sub>111</sub> r	mın	36.02 ms						

# **6. Conclusion**

This paper presents a human motion parameter estimation method. First, we generate 2D posture image and the corresponding 3D position of the joints stored in the database. Then, we extract the local patch which is described by shape context and then use the modified LSH to find the example patches in the database. Finally, we use Hough voting to find the best matched pose and estimate the 3D joint locations.

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