# IMPROVING SUPERPIXEL-BASED IMAGE SEGMENTATION BY INCORPORATING COLOR COVARIANCE MATRIX MANIFOLDS

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### ABSTRACT

We propose to use color covariance matrices of superpixels as a feature in addition to colors. A non-Euclidean distance metric is employed for the covariance matrix manifolds. We then introduce three ways of fusing the similarity matrices obtained from both feature spaces for affinity graph generation. Experiments carried out using a benchmark dataset reveals that our approach achieves competitive and even better results compared with the state of the art.

*Index Terms*— image segmentation, superpixel, covariance matrices, bipartite graph

## 1. INTRODUCTION

Superpixels, i.e., clusters of image pixels grouped together based on their perceptual similarity, are finding more and more applications in image processing. A number of clustering algorithms can be used to generate superpixels, e.g., density-based algorithms such as Mean Shift [1], and graphbased methods such as FH [2] and Power watersheds [3]. Meanwhile, state-of-the-art implementations such as SLIC [4] can form image superpixels very effectively as they are specially designed for the purpose. Region-based features can be extracted from superpixels, which have found applications in object classification and localization [5]. For image segmentation, since superpixels are usually over-segmented, some further treatment is necessary for larger but homogeneous regions to be formed as the segmentation outcome [6]. Notably, there is a growing trend in treating superpixels as cues to be merged through spectral clustering [7][8]. More recently, Wang et al. [9] applied a sparse-coding method to superpixels in a  $\ell_0$  space, and by using a modified affinity matrix of the Transfer Cut algorithm [7], achieved some impressive results.

In a wider context, it is found that the fusion of multiple cues can lead to better segmentation, e.g., combining color histograms, local binary patterns feature, and Bag of Words [10]. Apparently a suitable representation of superpixels may improve the quality of superpixel-based image segmentation.

Following these former studies, in this paper we look at improving superpixel feature representation by employing color covariance matrices in addition to superpixel color features. Unlike conventional color or texture features, the covariance matrices are not embedded in an Euclidean space but define a manifold, so a Riemannian metric is adopted. Using the same graph-cut approach as in [7], we then propose several ways of fusing similarity measured in two difference spaces, i.e., color and color covariance, when constructing the affinity graph. These methods are then tested in the experiments, and the final segmentation outcome is compared with the state-of-the-art algorithms.

Fig.1 gives a quick comparison of our algorithm and SAS [7]. Note that the tiger is split into different chunks by SAS, but not by our method.



Fig. 1. Visual comparison of the best segmentation: (a) original image; (b) SAS; (c) our method.

Next, Section 2 describes the feature extraction process, followed by the construction of the affinity graph in Section 3. Section 4 reports the experiment results. Finally, we conclude the paper.

#### 2. FEATURE EXTRACTION

Superpixels can be generated by using Mean Shift or FH algorithms under different parametric settings. Our first focus is on extracting useful features from the generated superpixels based on which image segmentation can be better carried out.

Following previous work, first we use the average color as the feature for each superpixel. In particular, the CIE Lab color space is adopted because of its good approximation to human vision. Denote a pixel vector in the Lab space as x. Each superpixel, denoted as set  $S_i = {\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_M}$  (*M* is the number of pixels included in  $S_i$ ), is then represented by its average color vector

$$
\mathbf{c}_i = E(\mathbf{x}_m), \mathbf{x}_m \in S_i \tag{1}
$$

The superpixels of an image are then regarded as a set of points in a 3-D Lab space with an Euclidean distance metric.

Empirically, using color information alone may not be enough for generating perfect image segmentation. Other non-Euclidean feature spaces could also be considered. In particular, we are interested in using the color covariance within superpixels, defined as

$$
\Sigma_i = E((\mathbf{x}_m - \mathbf{c}_i)(\mathbf{x}_m - \mathbf{c}_i)^T), \mathbf{x}_m \in S_i \tag{2}
$$

Covariance matrices as a tensor lie on a smooth manifold, hence requiring a non-Euclidean distance metric. Since they are symmetric and positive semi-definite, we can use the Förstner & Moonen metric  $[11]$  given as

$$
d(\Sigma_A, \Sigma_B) = \sqrt{\sum_{r=1}^n \ln^2 \lambda_r},
$$
 (3)

where  $\Sigma_A$ ,  $\Sigma_B$  are two covariance matrices of dimension  $n \times$ *n*, and  $\lambda_r(r = 1, \dots, n)$  are eigenvalues from the generalized eigenvalue problem  $|\lambda \Sigma_A - \Sigma_B| = 0$ . In our case, the covariance matrices are of  $3 \times 3$  dimension, so the cost of eigenanalysis cost is negligible. Assume the average size of superpixels is  $k$  pixels, the overall computational complexity so far introduced for each superpixel hence is  $O(k)$ .

#### 3. GRAPH-BASED SEGMENTATION

#### 3.1. Segmentation with superpixel-based graph cut

We use Transfer Cuts (Tcut) [7], a spectral graph-based partition algorithm, to perform the image segmentation. First a bipartite graph  $G(X, Y, B)$  is constructed over the pixels and superpixel sets of the input image  $I$ . Here  $X$  denotes the vertices with value of pixels and superpixels, Y denotes the vertices representing superpixel sets created by different or the same superpixel algorithms with different parameter settings, and  $B = [W_X, W_Y]^T$  holds the edges of the bipartite graph. Then, the cluster structure of the bipartite graph G can be found by singular vector decomposition (SVD) of the normalized across-affinity matrix  $\hat{B} = D_X^{-\frac{1}{2}} BD_X^{\frac{1}{2}}$ , where  $D_X = diag(B1), D_Y = diag(B^T1)$ . The left and right singular vectors of  $\overline{B}$  contain the partition information of vertices in  $X$  and  $Y$  respectively.

The Tcut algorithm finds the partition of  $X$  more efficiently by solving an equivalent eigen-problem [7]:

$$
L_Y \mathbf{v} = \lambda D_Y \mathbf{v},\tag{4}
$$

where  $L_Y = D_Y - W_Y$ ,  $W_Y = \widehat{B}^T D_X^{-1} B$ , and v is the eigenvector.

#### 3.2. Construction of the affinity graph

One major difference between our approach and other related work is in the construction of the affinity graph . In [7], each superpixel is connected with the nearest neighborhood among its spatially adjacent superpixels, which fails to catch the relationship of those vertices that are separated by spacial distance but close in the feature space. In [9], this weakness was overcome by measuring the similarity of the superpixels with their  $\ell_0$  sparse-coding representation. However, this problem can be solved in another way. Our approach is to seek additional information that better represents the superpixels, so that the spatial constrains can be removed. This is achieved by using the covariance matrices as the complementary feature to color features.

Let  $d_{ij}$  denotes the distance between superpixel  $S_i$  and  $S_i$ . Depending on the features being used,  $d_{ij}$  can be the Euclidean distance in the Lab space, or the non-Euclidean metric in (3). The similarity  $w_{ij}$  between the two superpixels then is defined as follows:

$$
w_{ij} = \begin{cases} e^{-\beta \min(d_{ij}, d_{ji})} & \text{if } i \neq j \\ 1, & \text{otherwise} \end{cases}
$$
 (5)

where  $\beta$  is a coefficient of the Gaussian-like kernel, and  $d_{ij}$ is normalized into [0,1]. Note that  $d_{ij}$  may be unequal to  $d_{ji}$ because of the normalization.

Now we have two different similarity matrices,  $W^c$  and  $W^{\Sigma}$ , representing the two different feature spaces of the superpixels, i.e., color and color covariance respectively. To fuse these two similarity matrices so as to construct an affinity graph, one solution is by means of the entry-wise product (*aka* Hadamard product):

$$
W_{\rm HP} = W^c \circ W^{\Sigma}.
$$
 (6)

There are alternatives that can be adopted. de Sa [12] used direct matrix product to similarity matrices from two views:

$$
W_{\rm DP} = W^c W^{\Sigma},\tag{7}
$$

In addition, Joachims [13] combined two individual modalities by simply adding them together:

$$
W_{\rm AD} = W^c + W^{\Sigma},\tag{8}
$$

Finally, the affinity graph is built by connecting the pixels to their superpixels and pairing the superpixels that are most similar to each other (within each over-segmented image), i.e., building  $W_X$  with the weight on each edge is set to a constant, and forming  $W<sub>Y</sub>$  with k-NN. Our algorithm is summarized in Table 1.

#### 4. EXPERIMENTS

For the purpose of comparison, the evaluation of our algorithm is done on a standard benchmark image segmentation



dataset, the Berkley Segmentation Dataset (BSD) [14], and the parameters are set the same as in [7] and [9]. Specifically, the superpixels are created by Mean Shift and FH algorithms; The weights on edges of  $W_X$  are fixed to  $1 \times 10^{-3}$ , and set  $\beta = 20$  for the distance-tuned Gaussian kernel in  $W_Y$ . We use a nearest-neighbor graph for  $W_Y$ , with  $k = 1$ .

The evaluation is measured by four indicators: Probabilistic Rand Index (PRI) [15], Variation of Information (VoI) [16], Global Consistency Error (GCE) [17], and Boundary Displacement Error (BDE) [18]. For PRI, a higher value means better result; for the rest the lower the better. The average scores of the four indicators are reported for comparison.

The experiments are conducted in two parts. First, the same as in [7], we manually set the number of segmentations of every image to find the best performance of the algorithms for comparison. Secondly, following [9], we fix the segment number  $K = 2$ , which is more practical in real applications. In both scenarios our method gives competitive performance. We examine the performance of all three fusion methods in our experiments, and the results are listed in Table 2 and Table 3. Our method ranks the first place with PRI and VoI when the cluster number  $K$  is manually set, and when  $K$  is fixed to 2, it gets the best scores in PRI, VoI, and GCE. The scores of the SAS algorithm and  $\ell_0$ -sparse representation methods are obtained from [7] and [9].

As shown in Table 3, the choice of the color space does not seem to be critical, since the utilization of the color covariance matrices seems to boost the performance significantly to a competitive level even for RGB and HSV. SAS, on the other hand, reports worse results in VoI and GCE when using these two color spaces compared with using Lab.

The experiment results on the BSD dataset show that the new superpixel feature extracted by a covariance matrix apparently improves the average performance of the bipartite graph based algorithm when combined with color cues. By

Table 2. Performance comparison over the BSD database with  $K$  adjusted manually

	<b>PRI</b>			
Algorithms		VoI -	<b>GCE</b>	BDE.
<b>SAS</b> [7]				0.8319 1.6849 0.1779 11.2900
$\ell_0$ -sparse-coding [9]				0.8355 1.9935 0.2297 11.1955
Ours (using $W_{\text{HP}}$ )				0.8495 1.6260 0.1785 12.3034
Ours (using $W_{\text{DP}}$ )				0.8345 2.1169 0.2341 12.0008
Ours (using $W_{AD}$ )				0.8397 2.0359 0.2308 11.8868

Table 3. Performance comparison over the BSD database with  $K$  fixed to 2



removing the spatial constraints, our method seems to handle long-range homogeneity well, forming superpixels well aligned with object contours. The Hadamard product seems to performs the best among the three fusing schemes, but the difference is mostly marginal.

Fig.2 shows more experimental results of our algorithm when  $K$  is set to 2. The method seems to be quite effective in foreground-background separation.

#### 5. CONCLUSION

We present a graph-based segmentation approach that utilizes color covariance matrices to boost the performance of graphbased image segmentation. A non-Euclidean metric is employed for the covariance matrix space, and the new feature is then integrated with color information to form the affinity graph for segmentation. Our empirical results show that the new approach produces better or competitive segmentation results compared with the state-of-the-art approaches. It is not sensitive to the choice of color space, different from previous work. In the future, we intend to incorporate some additional features with our algorithm.

#### 6. REFERENCES

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Fig. 2. Some more results of our method  $(K = 2)$ .

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